



First Meeting Checklist

General Questions:

What are your main financial objectives?

What are your family concerns and values?

What services would you like from your financial advisor?

Items to Bring:

Investment Statements (Stocks, Bonds, Mutual Funds, IRA, Pension, 401K)

Estate Documents (Will, Trust, Powers of Attorney, Partnerships, Gift Plans)

Insurance Statements (Life, Health, Disability, LTC, Annuity)

Tax Return (Previous Year)

Bank Statement (Checking, Savings, CD)

Real Estate Property Tax Bill (Previous Year)

General Expense Budget

List of Assets and Liabilities

Other

Our initial meeting is primarily for getting acquainted in a comfortable setting. The more information that you can bring from the checklist above...the easier it will be to determine if we can be of service to you. Normally, there are no transactions or commitments at our first meeting. We look forward to being with you.